

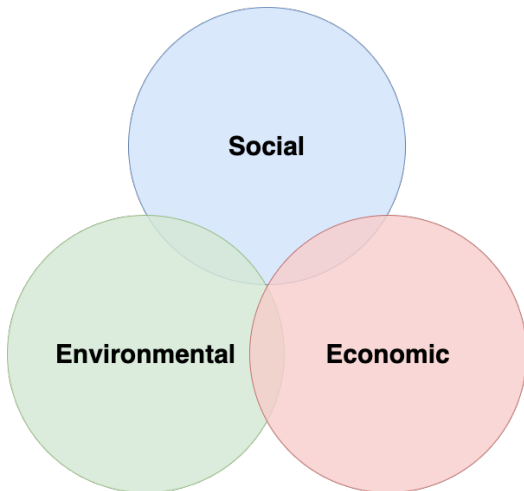
# CAACS: A *Carbon Aware* Ant Colony System

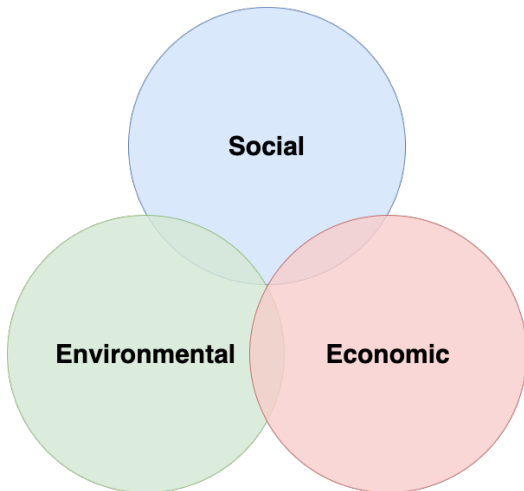
Marina Lin

Mentor: Professor Laura P. Schaposnik  
University of Illinois at Chicago

MIT PRIMES Conference

October 13, 2024

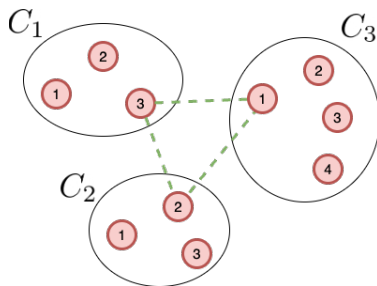




*How can we balance multiple objectives of sustainability?*

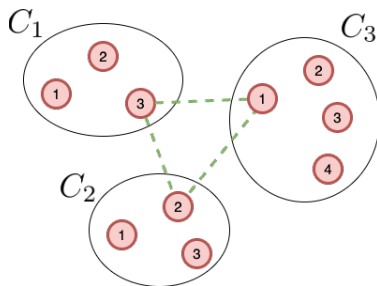
# Generalized Traveling Salesman Problem (GTSP)

**Problem Statement:** Consider an undirected graph  $G = (V, E)$  where the vertex set  $V$  is partitioned into  $n$  distinct clusters  $C_1, C_2, \dots, C_n$ . The GTSP searches for the shortest Hamiltonian cycle such that exactly one vertex from each cluster is visited.



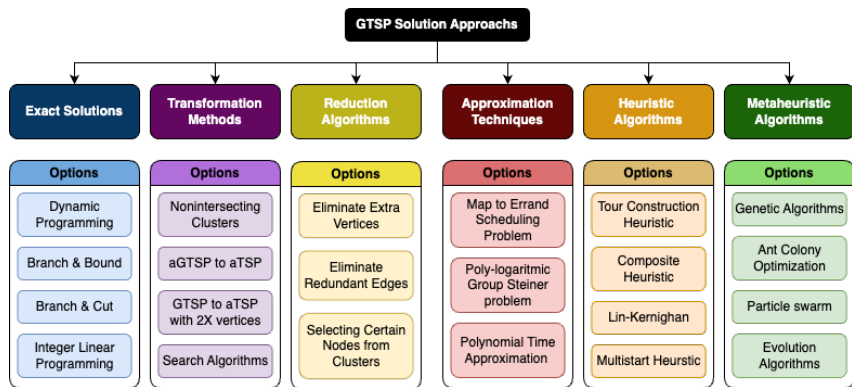
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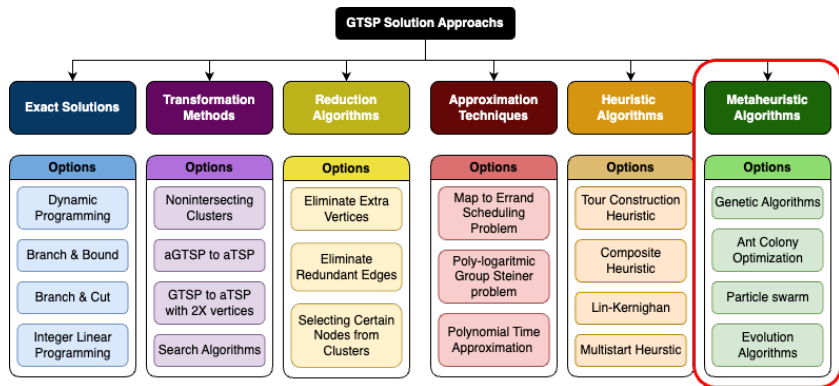


**Applications:** Logistics, Microchip Design, UPS Package Delivery, Medical Supplies Distribution, and a Subproblem of DNA Sequencing!

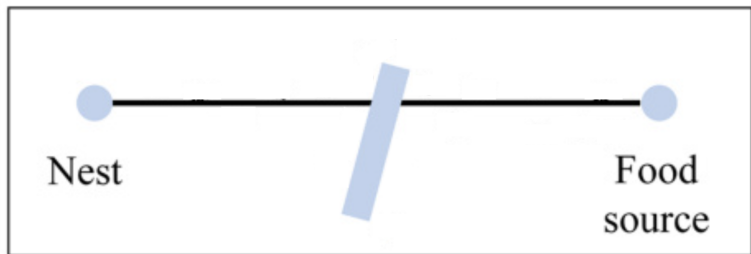
# Solutions to GTSP



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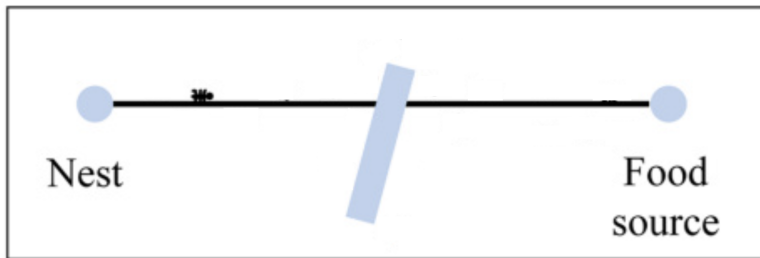


# Ant Colony Optimization

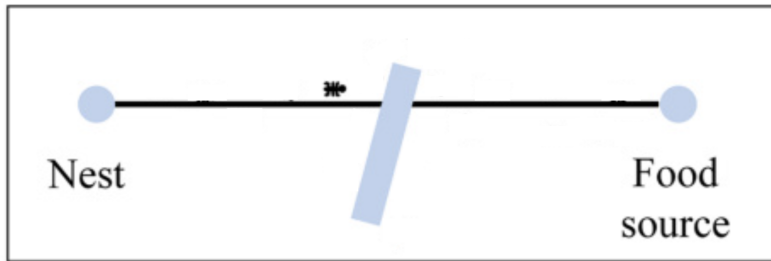




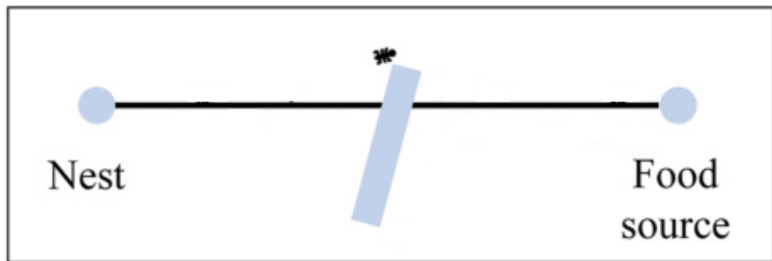
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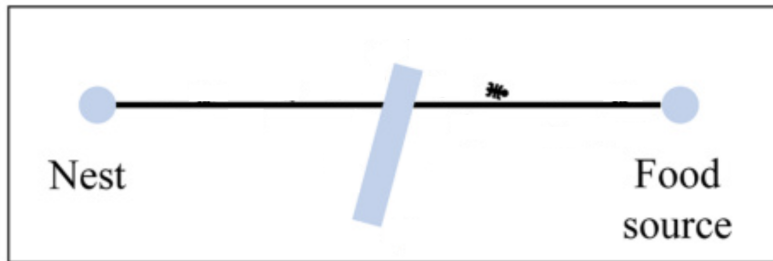
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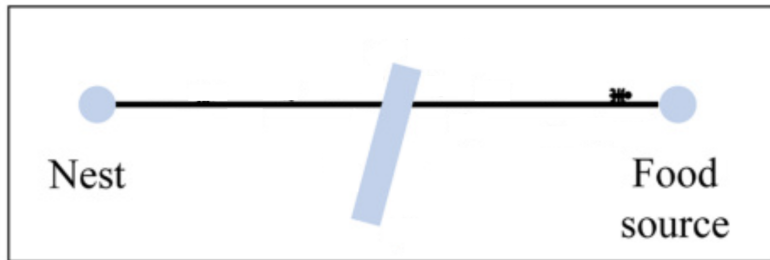
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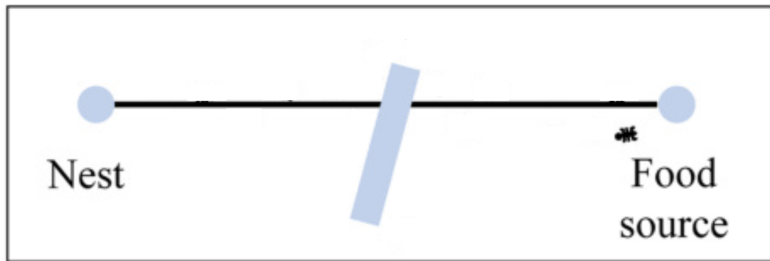
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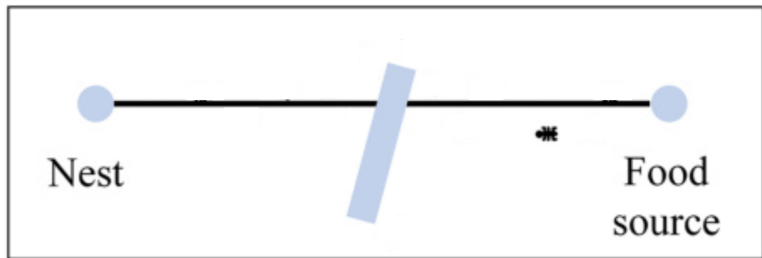
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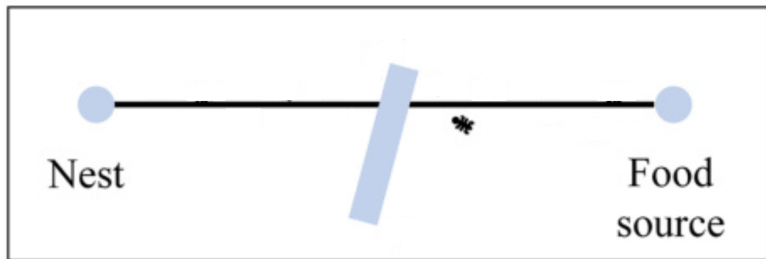
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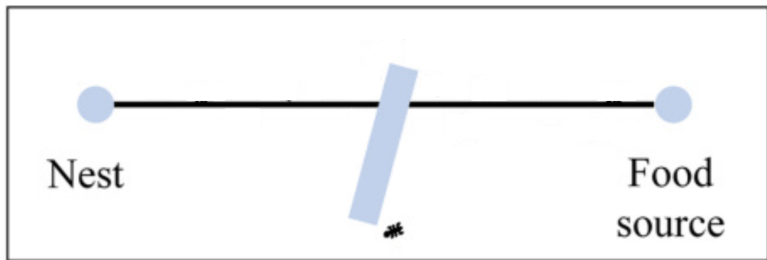


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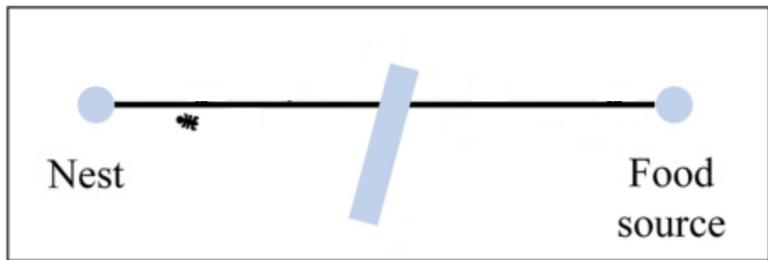




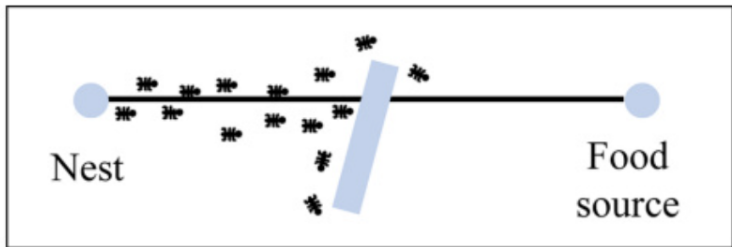
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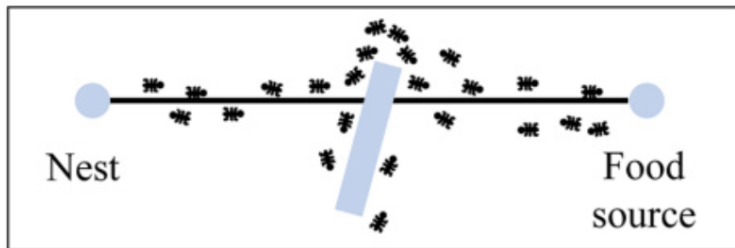
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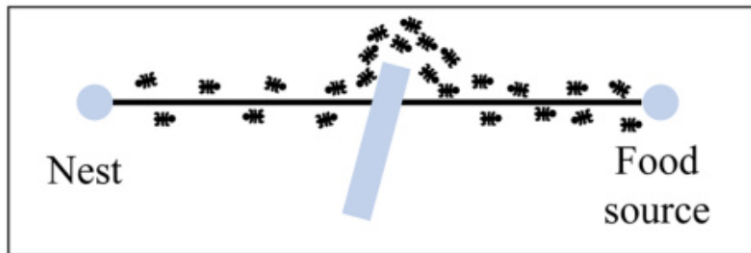
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## Key Mechanisms

- The pheromone trails  $\tau_{ij}$  guide the ants.
- Each ant constructs a complete solution by selecting components from the feasible set  $\mathcal{N}_j$ .
- Multiple ants explore different paths, and the algorithm identifies the path with the minimum cost.

- **Transportation** accounts for 23% of the world's carbon emissions.

# Carbon Model for Vehicles

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- Emissions (in kgCO<sub>2</sub>) depend on various factors:
  - Surface condition of the traveled route
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- Emissions (in kgCO<sub>2</sub>) depend on various factors:
  - Surface condition of the traveled route
  - Conveyance type
  - Weight load
  - Speed, etc.
- It's important to consider these factors in our model.

$$C(i, j) = \lambda u \left( y \left( \frac{d_{ij}}{f} \right) + \gamma^k \beta^k d_{ij} f^2 + \gamma^k s (\mu^k + F_{i,j,k,p,t}) d_{ij} \right)$$

The diagram illustrates the decomposition of the carbon emission equation into three modules. Red brackets connect the terms of the equation to their respective modules:

- The term  $\lambda u \left( y \left( \frac{d_{ij}}{f} \right) \right)$  is associated with the **Engine Module**.
- The term  $\gamma^k \beta^k d_{ij} f^2$  is associated with the **Speed Module**.
- The term  $\gamma^k s (\mu^k + F_{i,j,k,p,t}) d_{ij}$  is associated with the **Weight Module**.

# Algorithm Overview: Stages of the CAACS Approach

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- **Stage I: Finding a Path** — A valid GTSP solution is found.
- **Stage II: Updating the Graph** — Adjusting the pheromone concentration based on the paths taken.
- **Representation of Nodes and Clusters**
  - Nodes in the GTSP graph are represented as  $2 \times 2$  diamonds on a grid.
  - Each color represents a different cluster.

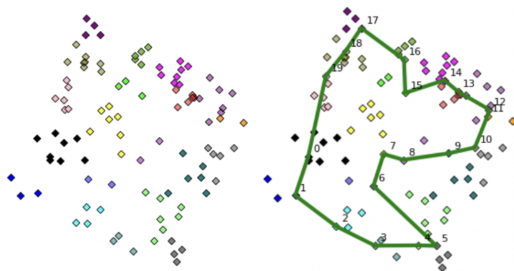


Figure 1: Example graph with a valid GTSP solution.

# A Carbon Aware Ant Colony System

To simultaneously minimize cost and emissions, we introduce a novel emission hyperparameter  $E_{ij}$ .

$$E_{ij} = A^{1 - \frac{c(i,j)}{c_{\max}}}$$

where  $c$  represents the carbon matrix, and

- $c(i, j)$ : Carbon emission associated with path  $(i, j)$ .
- $c_{\max}$ : Maximum carbon emission among all possible paths.
- $A$ : Scaling factor that adjusts the influence of emissions on path selection.

## Stage I: Finding a Path

**Exploitation:** An ant moving from node  $i$  to node  $j$  follows:

$$j = \begin{cases} \arg \max_{u \in \mathcal{N}_i(t)} \{ \tau_{iu}(t) \eta_{iu}(t) E_{iu}(t) \} & \text{if } r \leq r_0 \\ J & \text{otherwise.} \end{cases}$$

*(Diagrammatic annotations for the equation above: A blue bracket above the fraction is labeled "Weighted Evaluation of Edge". A red bracket above  $\eta_{iu}(t)$  is labeled "Cost Heuristic". A green bracket below  $E_{iu}(t)$  is labeled "CO<sub>2</sub> Heuristic". A purple bracket to the right of the fraction is labeled "Risk Parameter".)*

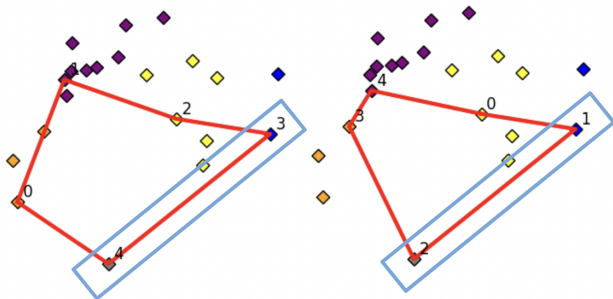


Figure 2: Example of Exploitation.

## Stage I: Finding a Path

**Exploration:** If  $r > r_0$ , the node  $J \in \mathcal{N}_i(t)$ , is selected via the probability:

$$p_{iJ}(t) = \begin{cases} \frac{\tau_{iJ}(t)\eta_{iJ}(t)E_{iJ}(t)}{\sum_{u \in \mathcal{N}_i(t)} \tau_{iu}(t)\eta_{iu}(t)E_{iu}(t)} & \text{if } J \in \mathcal{N}_i(t) \\ 0 & \text{otherwise.} \end{cases}$$

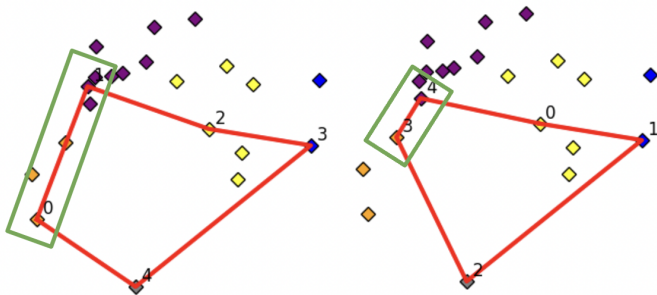


Figure 3: Example of Exploration.

## Stage II: Updating the Graph

**Carbon Aware Local Update Rule:** The local update rule is applied immediately after an ant traverses an edge and is given by:

$$\tau_{ij}(t) \leftarrow \underbrace{(1 - \rho_L)\tau_{ij}(t)}_{\text{Reduction}} + \underbrace{\rho_L\tau_0 E_{ij}}_{\text{Reinforcement}}$$

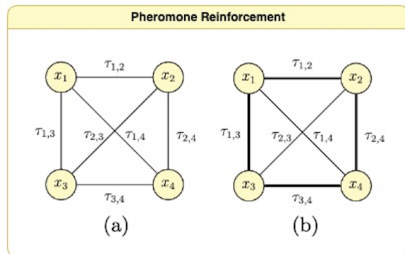
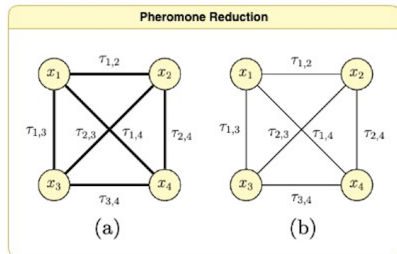


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- Encourages exploration by reducing the pheromone level on the recently used edge and adds carbon aware reinforcement.



## Stage II: Updating the Graph

**Carbon Aware Global Update Rule:** The global update rule is applied after all ants have completed their tours and is given by:

$$\tau_{ij}(t+1) = \underbrace{(1 - \rho_G)\tau_{ij}(t)}_{\text{Reduction}} + \underbrace{\rho_G \overbrace{\Delta\tau_{ij}(t)}^{\text{Shortest Cost Heuristic}} E_{ij}}_{\text{Reinforcement}}$$

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- Reinforces the best solutions found so far for future iterations.

# Evolution of Path Discovery

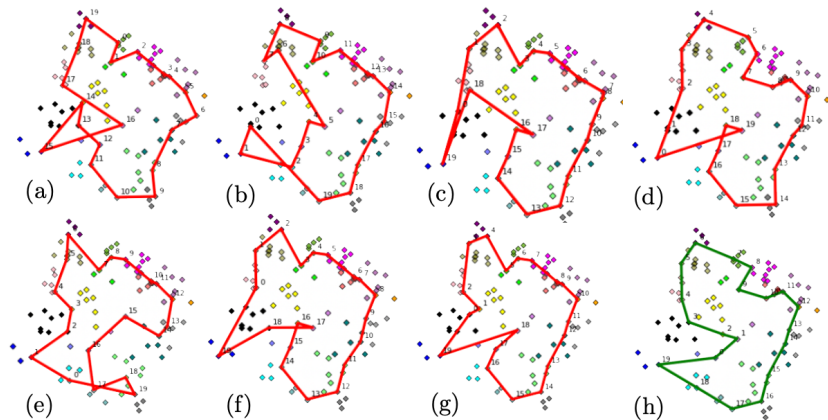


Figure 4: Illustration of Path Discovery.

# Time Complexity

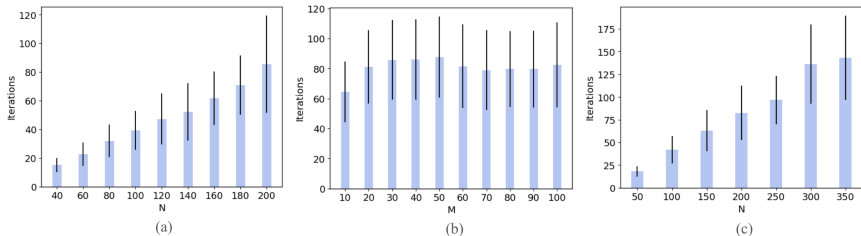
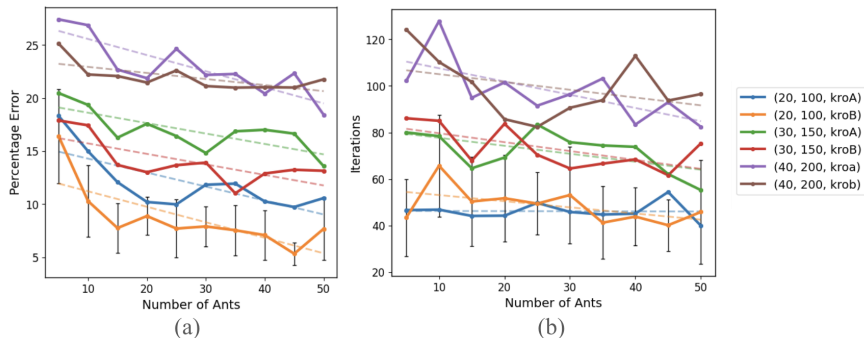


Figure 5: (a) Number of Nodes. (b) Number of Clusters. (c) Normalization.

**Results:** Empirically showed *linear time complexity*.

# Number of Ants



**Figure 6:** (a) **Quality of Solution:** Percentage error in cost compared to the optimal solution as the number of ants increases. (b) **Runtime:** Number of iterations as the number of ants increases.

# Application: Sustainable Delivery

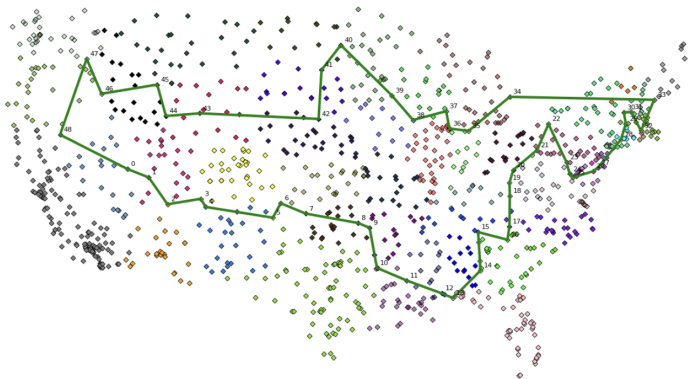


Figure 7: The final path generated by the algorithm on a grid with 1097 cities in 48 continental U.S. states and the District of Columbia (DC).





# Acknowledgments

I would like to thank

- My mentor Prof. Laura Schaposnik for her support and guidance throughout this project
- Dr. Etingof, Dr. Gerovitch, Dr. Khovanova, and the MIT PRIMES-USA organizers for making this amazing math research opportunity possible!



# References

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